## How Do I Selectively Use Radiation to Benefit the Rectal Cancer Patient?

PROF DR ALAA KANDIL PROFESSOR & CHAIRMAN DEPARTMENT OF CLINICAL ONCOLOGY ALEXANDRIA SCHOOL OF MEDICINE

## **Basic Facts:**

2nd & 3rd most common cancer in females & males. ▶ 1.4 million new case and 694000 deaths. Lowest rates in Africa & South Central Asia.

## **Principles:**

# **Surgery** is the cornerstone in management

However,

Crude Local Recurrence Rates with Surgery Alone								
Trial	Number of Patients	Local Recurrence Rate	Follow-up					
MRC (Mobile) <sup>2</sup>	235	34%	5 years (minimum)					
NSABP R-01 <sup>3</sup>	184	25%	5.3 years (mean)					
MRC (Fixed) <sup>5</sup>	140	46%	5 years (minimum)					
GITSG 71757	58	24%	6.7 years (median)					
Norwegian (Tveit et al) <sup>15</sup>	72	30%	4 years (minimum)					
Stockholm II <sup>16</sup>	285	27%	8.8 years (median)					
Stockholm I <sup>17</sup>	425	23%	4.4 years (median)					
EORTC <sup>18</sup>	228	31%	6.3 years (median)					
Swedish Rectal Cancer Trial <sup>19</sup>	585	27%	5 years (minimum)					

#### Clinical Colorectal Cancer, Vol. 4, No. 4, 233-240, 2004

### **Adjuvant Radiation Therapy:**

Studies Demonstrating a Local Recurrence Advantage with the Addition of Radiation to Surgery in Stage II/III Patients

<b>0</b> , 1	Local	Recurrence Rates		
Study	Surgery	Surgery + Radiation	Follow-up	
MRC-mobile <sup>2</sup>	34% —	→ 21%	5 years (minimum)	
NSABP R-01 <sup>3</sup>	25% —	→ 16%	5.3 years (mean)	
NSABP R-024	13% —	→ 8%	5 years (actuarial)	
MRC-fixed <sup>5</sup>	46% -	→ 36%	5 years (minimum)	
CCCG metaanalysis <sup>6</sup>	22%	<b>──→</b> 13%	5 years (actuarial)	

Clinical Colorectal Cancer, Vol. 4, No. 4, 233-240, 2004

## Early-stage disease, defined as T1-2N0, is usually treated with <u>surgery alone</u>.

Locally advanced disease, defined as stage <u>II/III disease</u>, requires initial clinical staging with pelvic MRI and endoscopic rectal ultrasound (ERUS) evaluation to determine the extent of disease and nodal involvement.

Staging provides critical information 1- The likelihood of achieving a complete resection (R0) 2- The likelihood of sparing the rectal sphincter and thereby maintaining fecal continence.

The Royal Marsden

## Cuthbert Dukes 1932: Nodes as a prognostic factor



system predicted prognosis and became a gold standard: Three-year survival after surgery was 80%, 73% and 7% for A,B and C respectively.



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JOURNAL OF CLINICAL ONCOLOGY

#### ORIGINAL REPORT

Preoperative Magnetic Resonance Imaging Assessment of Circumferential Resection Margin Predicts Disease-Free Survival and Local Recurrence: 5-Year Follow-Up Results of the MERCURY Study

Fiona G.M. Taylor, Philip Quirke, Richard J. Heald, Brendan J. Moran, Lennart Blomqvist, Ian R. Swift, David Sebag-Montefiore, Paris Tekkis, and Gina Brown

#### **MURCERY Trial:**

1.0

0.8

0.6

0.4

0.2

0

mrCRM status

- Clear

6

12

18

Involved

Disease-Free Survival (proportion)





No. at risk/events											
mrCRM negative	310	283/15	263/13	250/9	225/17	208/10	195/6	181/8	169/6	148/5	104/1
mrCRM positive	64	56/7	49/5	44/5	37/4	30/3	26/3	26/2	21/1	21/0	15/0

24

30

Time (months)

36

42

48

67.2% (95% Cl, 61.4% to 73.0%)

47.3% (95% Cl, 33.7% to 60.9%)

54

60

Fiona et al. JCO. 2014:1(32). 34-46.

#### **CONCLUSION:**

- High resolution magnetic resonance imaging accurately predicts whether the surgical resection margins will be clear or affected by tumour.
- This technique can be reproduced accurately in multiple centres to predict curative resection and warns the multidisciplinary team of potential failure of surgery, thus enabling selection of patients for preoperative treatment.

1111

#### Reduced Circumferential Resection Margin Involvement in Rectal Cancer Surgery: Results of the Dutch Surgical Colorectal Audit

Lieke Gietelink, MD<sup>a</sup>; Michel W.J.M. Wouters, PhD<sup>a,b</sup>; Pieter J. Tanis, PhD<sup>c</sup>; Marion M. Deken, MD<sup>d</sup>; Martijn G. ten Berge, MD<sup>a</sup>; Rob A.E.M. Tollenaar, MD, PhD<sup>a</sup>; J. Han van Krieken, MD, PhD<sup>e</sup>; and Mirre E. de Noo, PhD<sup>d</sup>; on behalf of the Dutch Surgical Colorectal Cancer Audit Group

#### Abstract

**Background:** The circumferential resection margin (CRM) is a significant prognostic factor for local recurrence, distant metastasis, and survival after rectal cancer surgery. Therefore, availability of this parameter is essential. Although the Dutch total mesorectal excision trial raised awareness about CRM in the late 1990s, quality assurance on pathologic reporting was not available until the Dutch Surgical Colorectal Audit (DSCA) started in 2009. The present study describes the rates of CRM reporting and involvement since the start of the DSCA and analyzes whether improvement of these parameters can be attributed to the audit. **Methods:** Data from the DSCA (2009–2013) were analyzed. Reporting of CRM and CRM involvement was plotted for successive years, and variations of these parameters were analyzed in a funnelplot. Predictors of CRM involvement were determined in univariable analysis and the independent influence of year of registration on CRM involvement was analyzed in multivariable analysis. **Results:** A total of 12,669 patients were included for analysis. The mean percentage of patients with a reported CRM increased from 52.7% to 94.2% (2009–2013) and interhospital variation decreased. The percentage of patients with CRM involvement. **Conclusions:** After the introduction of the DSCA, a dramatic improvement in CPM reporting and a major decrease of CRM involvement after rectal cancer surgery have occurred. This study suggests that a national quality assurance program has been the driving force behind these achievements. (J Natl Compr Canc Netw 2015;13:1111–1119)

Radiotherapy and surgical resection are <u>standard components</u> of therapy for patients with stage II/III carcinoma of the rectum.

#### **Total Mesorectal Excision (TME):**

 Removal of peri-rectal tissues involving lateral & circumferential margins of mesorectal envelop.



#### Dis Colon Rectum. 2013 May;56(5):535-50.

## Neoadjuvant Therapy

### The objectives of neoadjuvant <u>Therapy</u>

1-optimization of disease-free survival (DFS) overall survival (OS)
2-minimizing toxicity from RT and chemotherapy
3-eliminating local recurrence.

### Neoadjuvant Therapy: The German Study: A Shifting Concept



#### N Engl J Med 2004;351:1731-40.



**Preoperative Multimodality Therapy Improves Disease-**Free Survival in Patients With Carcinoma of the Rectum: NSABP R-03

Journal of Clinical Oncology 27, no. 31 (November 1 2009) 5124-5130.

- Patients with clinical T3 or T4 or node-positive rectal cancer
- were randomly assigned to preoperative or postoperative chemoradiotherapy.
- Chemotherapy consisted of fluorouracil and leucovorin with 45 Gy in 25 fractions with a 5.40-Gy boost within the original margins of treatment.
- In the preoperative group, surgery was performed within 8 weeks after completion of radiotherapy. In the postoperative group, chemotherapy began after recovery from surgery but no later than 4 weeks after surgery.
- The primary end points were disease-free survival (DFS) and overall survival (OS).





### T3/4 or N+ **5-FU CRT** + Surg *vs* ...

German Trial n=823	French Trial	EORTC Trial
Local Recurrences (10y)	Local Recurrences (10y)	Local Recurrences (10y)
pre CRT vs post CRT	pre CRT vs pre RT	pre CRT vs pre RT
<b>7% vs 10%</b>	<b>8% vs 16%</b>	<b>12% vs 22%</b>
(p=.04)	(p<.05)	(p<.001)
Overall Survival (10y)	Overall Survival (10y)	Overall Survival (10y)
60% vs 60%	68% vs 67%	<b>51% vs 49%</b>
(n.s.)	(n.s.)	(n.s)

Sauer et al., J Clin Oncol 2012

Gerard et al., J Clin Oncol 2006

Bosset et al., Lancet Oncol 2014

### T1-3 Nany **5x5 Gy** + Surg vs Surg alone



Fokesson et al., J Clin Oncol 2005

van Gijn et al., Lancet Oncol 2011

Sebag-Montefiore et al., Lancet 2009

### Where do we come from?



	Polish Trial	5x5 Gy	CRT	P value
	Acute Tox (Grade 3-4, %)	3	18	<.001
	pCR (%)	1	16	<.001
	CRM + (%)	13	4	0.02
	Sphincter Preservation (%)	61	58	n.s.
	Local Recurrences (4y, %)	11	16	n.s.
Med. F/U: 48 months	Overall Survival (4y, %)	67	66	n.s.
	Late Tox (Grade 3-4, %)	10	7	n.s.

Bujko et al., Radiother Oncol 2004 Buiko et al., Br J Surg 2006 Pietrzak et al. Radiother Oncol 2007

	Trans-Tasman	5x5 Gy	CRT	P value
	Acute Tox (Grade 3-4; %)	2	28	<.001
	ypT0 (%)	1	15	<.001
	Sphincter Preservation (%)	63	69	0.22
	Local Recurrences (3y, %)*	7.5	4.4	0.24
Med. F/U: 5.9 years	Overall Survival (5y, %)	74	70	0.62
	Late Tox (Grade 3-4, %)	5.8	8.2	0.53

\*< 5 cm from AV: 6/48 vs 1/31 pts (p= 0.21)

Ngan SY et al., J Clin Oncol 2012

Bruce D. Minsky, Editorial, J Clin Oncol 2012

### **European Model of Stratification**

based on MRI risk categorization

### Where are we now?

#### NCCN Guidelines Rectal Cancer (Version 1/2016) Preoperative Short-Course RT:

#### Option for T3 or N+; not recommended for T4

"Evaluation ... should be in a multidisciplinary setting, with a discussion of the need of **downstaging** and the possibility of long-term toxicity."

\*Practical Radiation Oncology, in press 2016

#### Radiation + Chemotherapy are <u>MANDATORY</u> in Neoadjuvant Therapy:

Trial/Preoperative Treatment	RT Total Dose	% pCR	% 5-year LR	% SPS	% 5-year OS
EORTC 22921					
RT alone	45 Gy (1.8 Gy)	5.3	17.1	52.8	65
Chemo-RT*	45 Gy (1.8 Gy)	14	8.7	55.3	65
<i>P</i> value		<.0001	.0016	.05	NS
FFCD 9203					
RT alone	45 Gy (1.8 Gy)	3.7	16.5	51.7	66.6
Chemo-RT	45 Gy (1.8 Gy)	11.7	8	52.6	67.8
<i>P</i> value		<.05	NR	NS	NS
Polish Trial					
RT alone	25 Gy (5 Gy)	1	NR	61	NR
Chemo-RT	50.4 Gy (1.8 Gy)	16	NR	58	NR
<i>P</i> value		NR	NR	NS	NS
GRECCAR 1					
RT alone	63 Gy (1.8 Gy)	NR	NR	83	NR
Chemo-RT	45 Gy (1.8 Gy)	NR	NR	86	NR
<i>P</i> value		NR	NR	NS	NS

Gastrointest Cancer Res 1:49-56. ©2007 by International Society of Gastrointestinal Oncology

### Neoadjuvant Therapy: The Use of Capecitabine:

R-04 SCHEMA



#### EQUIVALENT

The Cancer Journal • Volume 13, Number 3, May/June 2007

### Neoadjuvant Therapy: Adding Oxaliplatin:

Table 1. Phase III trials adding oxaliplatin to preoperative fluorouracil-based chemoradiotherapy in stage II andIII rectal cancer

Trial	Preoperative treatment	Surgery	Postoperative treatment	First results/comment
ACCORD 12 [6]	PT 15 Gy L canacitatina varsus	ТАЛЕ	Postoporativo CT frao in	
	<ul> <li>++ Toxicity &amp; Co</li> <li>Did not improve:</li> </ul>	omplia	ance.	3/4 toxicity increased
STAR-01 [7"]	• Did not improve:			6% both arms
	1. R <sub>o</sub>	RR.		3/4 toxicity increased
NSABP R-04 [8]	2. pČ	CR.		9 versus 21% (n.s.) le 3/4 toxicity increased
	3. Sp	hinct	er Preservation	
	RT 50.4 Gy + capecitabine + oxaliplatin	TME		
CAO/ARO/ AIO-04 [9]	RT 50.4 Gy + 5-FU versus	TME	5-FU versus	pCR 13 versus 17% (P=0.04) Grade 3/4 toxicity not
	RT 50.4 Gy + 5-FU + oxaliplatin	TME	5-FU + oxaliplatin	
PETACC 6	RT 45 Gy+capecitabine versus	TME	Capecitabine versus	Accrual completed
	RT 45 Gy + capecitabine + oxaliplatin	TME	Capecitabine + oxaliplatin	

#### Curr Opin Oncol 2012, 24:441–447

### Neoadjuvant Therapy: Adding EGFR/VEGF Inhibition:

No Significant Added Benefit over Chemotherapy & Higher G 3 & 4 Adverse Events.

Curr Opin Oncol 2012, 24:441–447

### Neoadjuvant Therapy: Indications:

- 1. T3 T4 Lesions
- 2. Depth of Extramural Invasion:
  - − T3 lesions (>5 mm)  $\rightarrow$  ++ LNs involvement  $\rightarrow$  Higher Cancer Specific Mortality (54% Versus 85%).
  - Selection of high risk T3 for treatment.
  - Approved outside US.
- 3. T1 2 lesions with Positive Nodes.
- 4. Low situated lesions.
- 5. Invasion of mesorectal fascia.

Br J Cancer 2000; 82:1131 www.uptodate.com (September 2015)



Neoadjuvant Therapy:

#### Treatment Outcome in Relation to pCR:

German Study:



#### Neoadjuvant Therapy: Impact of Pathological CR:

Reference	Odds ratio	Р	Odds ratio
Chan et al.5	0.13 (0.01, 2.23)	0.158	← ⊡
Hong et al.17	0.83 (0.03, 21.80)	0.909	C
de Campos-Lobato et al.6	0.08 (0.01, 1.39)	0.084	← □
Rödel et al.20	0.28 (0.02, 4.82)	0.382	
Ruo et al.22	0.39 (0.02, 7.50)	0.534	
Shivnani et al.23	0.25 (0.01, 4.71)	0.356	
Valentini et al.24	0.11 (0.01, 1.95)	0.134	← ⊡
Wheeler et al.25	0.21 (0.01, 3.85)	0.292	
Yeo et al. <sup>26</sup>	0.37 (0.07, 1.81)	0.216	-0+
Overall	0.25 (0.10, 0.59)	0.002	•
Test for heterogeneity: $Q = 1.9, 8$	d.f., P=0.981, I <sup>2</sup> =0%	Favours pCR Favours no pCR	

Reference	Odds ratio	Р	Odds ratio			
Chan et al.5	17.79 (2.33, 136.01)	0.006				
Ciccocioppo et al.16	9.23 (0.99, 85.78)	0.021				
Hong et al.17	4.50 (0.50, 40.65)	0.180		-		
Kim et al.18	3.10 (1.20, 8.02)	0.019				
de Campos-Lobato et al.6	5.06 (1.74, 14.70)	0.003				
Pucciarelli et al.19	0.45 (0.15, 1.38)	0.162				
Rödel et al.20	2.65 (1.08, 6.50)	0.033				
Roh et al.21	3.09 (0.66, 14.40)	0.151				
Ruo et al.22	11.73 (0.66, 210.11)	0.094		<b>→</b>		
Shivnani et al.23	13.07 (0.75, 226.77)	0.078		<b>→</b>		
Valentini et al.24	10-25 (1-32, 79-40)	0.026				
Van at al 26	0.46 (4.20, 21.31)	< 0.001				
Overall	4.33 (2.31, 8.09)	< 0.001	•			
				100		
Test for heterogeneity: $Q = 25.0, 11 \text{ d.f.}, P = 0.009, I^2 = 56\%$			Favours no pCR Favours pCR	100		

#### a Local recurrence

#### Can we Avoid Surgery?

#### British Journal of Surgery 2012; 99: 918–928

#### **b** Disease-free survival

Reference	Odds ratio	Р	Odds ratio
Chan et al.5	15.50 (2.02, 118.73)	0.008	
Ciccocioppo et al.16	1.62 (0.17, 15.72)	0.680	
Kim et al.18	1.72 (0.59, 5.01)	0.317	-+ <b>D</b>
de Campos-Lobato et al.6	3.36 (1.14, 9.88)	0.027	
Pucciarelli et al.19	0.38 (0.12, 1.18)	0.095	
Rödel et al.20	2.22 (0.90, 5.45)	0.083	
Roh et al.21	1.85 (0.39, 8.79)	0.439	
Ruo et al.22	10.11 (0.56, 181.57)	0.116	
Shivnani <i>et al.</i> 23	113.93 (6.65, 1951.68)	0.001	<b>→</b>
Valentini et al.24	5.63 (0.72, 43.90)	0.099	
Wheeler et al.25	4.80 (0.56, 41.13)	0.152	
Vac at al <sup>26</sup>	0.00 (0.00 47.00)	< 0.001	
Overall	3-28 (1-66, 6-51)	0.001	•
			0.01 0.1 1 10 100
Test for heterogeneity: $Q = 27.6$ , 11 d.f., $P = 0.004$ , $l^2 = 60\%$			Favours no pCR Favours pCR

a Overall survival

### Predicting Pathologic CR: Questions & Debates:

- **DRE:** Under estimation.
- <u>CT and ERUS</u>: Residual disease & nodes ( $_{vp}TO \rightarrow LN + ve = 2 9\%$ )
- Timing of Assessment: 6 or 12 or 6 & 12 months?
- **<u>CEA</u>**: Cutoff Point = 2.7 ng/ml at 4 or 8 weeks?
- **Diffusion Weighted MRI:** Higher sensitivity and specificity.
- Full Thickness Excision Biopsy.
- PET CT Scan: 6 and 12 months.
- Molecular Signature: 33 & 54 genes signatures.

### Can we Avoid Surgery?

VOLUME 29 · NUMBER 35 · DECEMBER 10 2011

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

#### Wait-and-See Policy for Clinical Complete Responders After Chemoradiation for Rectal Cancer

Monique Maas, Regina G.H. Beets-Tan, Doenja M.J. Lambregts, Guido Lammering, Patty J. Nelemans, Sanne M.E. Engelen, Ronald M. van Dam, Rob L.H. Jansen, Meindert Sosef, Jeroen W.A. Leijtens, Karel W.E. Hulsewé, Jeroen Buijsen, and Geerard L. Beets

See accompanying editorial on page 4604; listen to the podcast by Dr Kachnic at www.jco.org/ podcasts

**REVIEW ARTICLE** 

#### Can Surgery be Avoided After Preoperative Chemoradiation for Rectal Cancer in the Era of Organ Preservation? Current Review of Literature

Sheema Chawla, MD,\* Alan W. Katz, MD, MPH,† Stephen M. Rauh, MD,‡ and John R. T. Monson, MD, FRCS, FACS§

Abstract: Approximately 10% to 25% of patients have a pathologic complete response after neoadjuvant chemoradiation. There is a compelling argument for attempting to avoid surgery in carefully selected groups of patients. Although nerve-preserving surgical techniques are now standard, the rates of urinary and sexual dysfunction are significant. Also, although sphincter function and quality of life among patients undergoing an ultra-low anterior resection is acceptable, results are poorer than expected and may be disabling. Trials of omission of surgery for selected patients with complete response after preoperative chemoradiation, otherwise known as "Watch and Wait," have shown favorable long-term results. We review the current literature on accepted standards of care and identify areas of controversy and important ongoing clinical studies aiming to resolve these issues.

Key Words: rectal cancer, organ preservation, neoadjuvant chemoradiation

(Am J Clin Oncol 2015;38:534–540)

			-		Outcomes	
<b>References (Institution)</b>	Patients Treated	Follow-up (mo)	cCR (n [%])	Locoregional Failure	Disease-free Survival	Overall Survival
Habr-Gama et al <sup>16</sup> (Brazil)	265	57	71 (26.8)	2/7 (2.8%)	83% (5 y)	88% (5 y)
Habr-Gama et al <sup>18</sup> (Brazil)	361	60	99 (27.4)	5/99 (5%)	85% (5 y)	93% (5 y)
Habr-Gama et al <sup>19</sup> (Brazil)	360	NS	99 (27.5)	6/99 (6%)	NS	NS
Habr-Gama et al <sup>20</sup> (Brazil)	173	65	67 (39)	8/173 (4.6%)	72% (5 y)	96% (5 y)
Maas et al <sup>22</sup> (The	192	$35\pm23$ (Mean)	21 (11)	1/21 (5%)	89% (2 y)	100% (2 y)
Netherlands)						
Dalton et al <sup>23</sup> (England)	49	26	12 (24)	Bio	opsy negative: all NED	
				Biopsy p	ositive: 2/6 distant failure	e
Smith et al <sup>24</sup> (MSKCC)	265	28	32 (12)	6/32 (19%)	88% (2 y)	96% (2 y)

#### TABLE 1. Selected Series of Reports of Nonoperative Approach in Rectal Cancer Treated by CRT

cCR indicates clinical complete response; CRT, chemoradiation; MSKCC, Memorial Sloan-Kettering Cancer Center; NED, no evidence of disease, NS, not stated.

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www.amjclinicaloncology.com | 535

There may be patients with a pCR after neoadjuvant therapy who <u>may be</u> candidates for a "watch-and-wait" approach—thus avoiding surgery—which is the subject of current clinical investigation



Submit a Manuscript: http://www.wjgnet.com/esps/ Help Desk: http://www.wjgnet.com/esps/helpdesk.aspx DOI: 10.4240/wjgs.v7.i11.306 World J Gastrointest Surg 2015 November 27; 7(11): 306-312 ISSN 1948-9366 (online) © 2015 Baishideng Publishing Group Inc. All rights reserved.

MINIREVIEWS

#### Watch and wait approach to rectal cancer: A review

Marcos E Pozo, Sandy H Fang

#### Table 1 Watch and wait protocol surveillance schedule (adapted from Habr-Gama et al<sup>[10]</sup>)

Assessment of complete response	Initial assessment	First year	Second year	Third year and after
DRE	10 wk	Every 1-2 mo	Every 3 mo	Every 6 mo
CEA	10 wk	Every 1-2 mo	Every 3 mo	Every 6 mo
Endoscopic assessment	10 wk	Every 1-2 mo	Every 3 mo	Every 6 mo
MRI	10 wk	If 1 <sup>st</sup> assessment normal with	Every 6 mo	Every 6 mo
		cCR, then every 6 mo		

Habr-Gama A, São Julião GP, Perez RO. Nonoperative manage ment of rectal cancer: identifying the ideal patients. Hematol Oncol Clin North Am 2015; 29: 135151 [PMID: 25475576 DOI: 10.1016/j.hoc.2014.09.004]

### Neoadjuvant Therapy: Problems with Current Practice:



Adopted from Deborah Schrag's Presentation at 2015 ASCO Annual Meeting

### The Art of Today:

- Radical resection remains the cornerstone in management regardless the achieved response.
- The identification of patients with pCR is challenging, however, patients should be informed about watch and wait strategy.
- Data showed higher incidence of relapse during the 1<sup>st</sup> year then becoming comparable to those following radical surgery → intensive follow up during the 1<sup>st</sup> year.
- Adoption of MDT should be encouraged.
- The need for more clinical trials is highly appreciated.

### **PROSPECT Trial**



#### Standard of Care ARM

## Conclusion

Preoperative chemoradiotherapy, compared with postoperative chemoradiotherapy, <u>significantly</u> improved DFS and showed a trend toward improved OS.

